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BOZICEVIC

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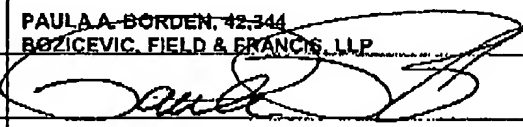
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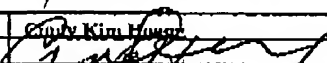
PTO/SB/21 (05-03)

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	10/648,619			
		Filing Date	August 25, 2003			
		First Named Inventor	CLARY, DOUGLAS O.			
		Group Art Unit	1642			
		Examiner Name				
Total Number of Pages in This Submission		Attorney Docket Number	UCAL-305CON4			
ENCLOSURES (check all that apply)						
<table border="0"> <tr> <td> <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 </td> <td> <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) </td> <td> <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1) Request to Correct Official Filing Receipt (1 pg.) 2) Marked-up Filing Receipt (1 pg.) 3) Copy of Express Mail Receipt (1 pg.) 4) Copy of Return Postcard 5) Return Postcard </td> </tr> </table>				<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1) Request to Correct Official Filing Receipt (1 pg.) 2) Marked-up Filing Receipt (1 pg.) 3) Copy of Express Mail Receipt (1 pg.) 4) Copy of Return Postcard 5) Return Postcard
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Remarks						
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Signing Attorney/Agent (Reg. No.)	PAULA A. BORDEN, 42,344 BOZICEVIC, FIELD & FRANCIS, LLP					
Signature						
Date	September 13, 2004					

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO BOX 1450, Alexandria, Virginia 22313-1450 on this date: September 13, 2004.	
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Signature	
Date	September 13, 2004

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Typed or Printed Name	Cindy Kim Hoang		
Signature		Date	9/13/04
REQUEST FOR CORRECTED FILING RECEIPT Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450		Attorney Docket	UCAL-305CON4
		Applicant	CLARY, DOUGLAS O.
		Serial Number	10/648,619
		Filing Date	August 25, 2003
		Group Art Unit	1642
		Examiner Name	To Be Assigned
		Title: "ANTIBODIES THAT MIMIC ACTIONS OF NEUROTROPHINS"	

Sir;

A filing receipt for the above-identified patent application has been issued by the U.S. Patent and Trademark Office (copy attached) and has been found to contain the following error(s):

- (1) Please correct the filing date to read "08/25/2003" as indicated on the application.

On February 12, 2004, a Notice of Omitted Items issued from the Patent and Trademark Office, noting that Figure 4 was omitted. On March 12, 2004, Applicants filed a Preliminary Amendment, which deleted references in the application to Figure 4. No Figure 4 was provided in the parent case, U.S. Serial No. 09/770,949, now U.S. Patent No. 6,656,465. Figure 4 is not required for an understanding of the invention, as the description in the specification is adequate. Thus, the instant application was complete as of the August 25, 2003 filing date. Accordingly, Applicants request that the August 25, 2003 be accorded to the instant application.

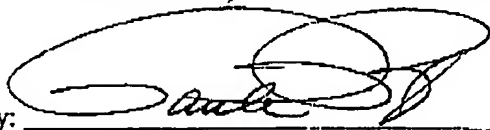
If for any reason a fee is found to be necessary, the Commissioner is authorized to charge such fee to Deposit Account No. 50-0815.

Respectfully submitted,
BOZICEVIC, FIELD & FRANCIS LLP

Date:

Sept. 13, 2004

By:



Paula A. Borden
Registration No. 42,344

BOZICEVIC, FIELD & FRANCIS LLP
1900 University Circle, Suite 200
East Palo Alto, California 94303
Telephone: (650) 327-3400
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Atty/Sec: PAO/CKH
Atty Docket No. UCAL-305CON4
Date Mailed: August 25, 2003
Application No.: To Be Assigned Filing Date: August 25, 2003
Inventor(s): CLARY, DOUGLAS O.

Title: "ANTIBODIES THAT MIMIC ACTIONS OF NEUROTROPHINS"

Enclosure(s):

- ❖ Utility Patent Application Transmittal (1 pg.)
- ❖ Fee Transmittal + Duplicate (2 pgs.)
- ❖ Application Data Sheet (2 pgs.)
- ❖ Specification, Claims and Abstract (55 pgs.)
- ❖ Drawings (4 sheets)
- ❖ Revocation of Power of Attorney/ Power of Attorney (1 pg.)
- ❖ Declaration (4 pgs.)
- ❖ Information Disclosure Statement (1 pg.)
- ❖ PTO/SB/08A (6 pgs.)
- ❖ Return Postcard

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10/648,619	01/23/2004	1642	561	UCAL-305CON1	4	22	7

24353
BOZICEVIC, FIELD & FRANCIS LLP
200 MIDDLEFIELD RD
SUITE 200
MENLO PARK, CA 94025

CONFIRMATION NO. 4389

UPDATED FILING RECEIPT

OC000000011969255

Date Mailed: 02/26/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Douglas O. Clary, San Francisco, CA;
Gisela Weskamp, New York, NY;
LeeAnn R. Austin, San Francisco, CA;
Louis F. Reichardt, San Francisco, CA;

Domestic Priority data as claimed by applicant

This application is a CON of 09/770,949 01/26/2001 PAT 6,656,465
which is a CON of 09/033,313 03/02/1998 ABN
which is a CON of 08/466,839 06/06/1995 ABN
which is a CON of 08/162,597 12/03/1993 PAT 5,753,225

Foreign Applications

If Required, Foreign Filing License Granted: 11/17/2003

Projected Publication Date: 06/03/2004

Non-Publication Request: No

Early Publication Request: No

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Bozicevic, Field, & Francis

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Correct OFR due 03/07/04
- file date

Title

Antibodies that mimic actions of neurotrophins

Preliminary Class

536

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